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# NOTICE OF ALLOWANCE AND FEE(S) DUE

9629 7590 034772008 MORGAN LEWIS & BOCKIUS LLP 1111 PENNSYLVANIA AVENUE NW WASHINGTON. DC 20004 EXAMINER

NEILS, PEOGY A

ART UNIT PAPER NUMBER

2885

DATE MAILED: 03/17/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/617,805	07/14/2003	Tomoyoshi Yamashita	04791-5006-01	4167

TITLE OF INVENTION: PLANAR LIGHT SOURCE SYSTEM AND LIGHT DEFLECTING DEVICE THEREFOR

APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(8) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	06/17/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FFE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This appropriate. All further indicated unless correcte maintenance fee notificat	form should be used I correspondence including d below or directed off tions.	or tran	smitting the ISSU Patent, advance or in Block 1, by (a					hould be completed where correspondence address as trate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				N Fe ps ha	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
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NEILS, P	EGGY A		2885	362-612000	_			
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☐ Change of correspondence address (or Change of Correspondenc Address form PTO/SB/122) attached. ☐ 'Fee Address' indication (or 'Fee Address' Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required.								
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A ТО Е	E PRINTED ON	THE PATENT (print or t	ype)			
PLEASE NOTE: Uni- recordation as set forth (A) NAME OF ASSIG		ified b	elow, no assignee of this form is NO	data will appear on the I a substitute for filing a (B) RESIDENCE: (CI)				ocument has been filed for
(A) NAME OF ASSIC	JAEL			(B) RESIDENCE: (CI)	1 and STATE OF	COON	IKI)	
Please check the appropri	iate assignee category or	catego	ories (will not be pr	inted on the patent):	Individual 🗅	Corporat	ion or other private gro	oup entity Government
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Advance Order - #		ermitt	ed)	The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any				
5. Change in Entity Stat	tuo (feem status indicato	d above		overpayment, to De	oosit Account Nun	ber	(enclose a	n extra copy of this form).
	s SMALL ENTITY state			☐ b. Applicant is no le	onger claiming SM	ALL EN	TITY status. Sec 37 Cl	R 1.27(g)(2).
NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if req records of the United Sta	uired) tes Pat	will not be accepted ent and Trademark	from anyone other than Office.	the applicant; a re	gistered	attorney or agent; or th	e assignee or other party in
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PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.



# UNITED STATES PATENT AND TRADEMARK OFFICE

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MORGAN LEWIS & BOCKIUS LLP			NEILS, PEGGY A		
1111 PENNSYLVANIA AVENUE NW			ART UNIT PAPER NUMBER		
WASHINGTON,	DC 20004	2885			

DATE MAILED: 03/17/2008

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

 $(application \ filed \ on \ or \ after \ May \ 29, \ 2000)$ 

The Patent Term Adjustment to date is 85 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 85 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

Application No.	Applicant(s)	_
10/617,805	YAMASHITA ET AL.	
Examiner	Art Unit	_
PEGGY A. NEILS	2885	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative

- of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to amendment filed 1/30/2008.
- The allowed claim(s) is/are 1-3,9,10,34 and 35.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a)  $\square$  All b) ☐ Some\* c) ☐ None of the:
    - 1. T Certified copies of the priority documents have been received.
    - 2. Certified copies of the priority documents have been received in Application No.
    - 3. 
      ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) hereto or 2) to Paper No./Mail Date
  - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. 

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

- 1. | Notice of References Cited (PTO-892)
- Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08). Paper No./Mail Date 9/10/2007
- 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date
- 7. ☐ Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- Other \_\_\_\_\_.

/Stephen F. Husar/ Primary Examiner

Application/Control Number: 10/617,805

Art Unit: 2875

### Allowable Subject Matter

Claims 1-3, 9, 10, 34 and 35 are allowed.

The following is an examiner's statement of reasons for allowance: Claims 1-3, 9, 10, 34 and 35 are allowable over the prior art because Claim 1 sets forth a planar light source system with a point-shaped light source, a light guide and a light deflecting device above the light guide with many arc-shaped elongated lenses formed parallel to each other on at least one surface of the light deflecting device so as to surround the light source with the corner of the light guide notched to receive the light source. This combination of limitations was not shown or suggested by the prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications should be directed to Examiner Neils at (571) 272-2377 on a Monday, Tuesday or Thursday. If attempts to reach the examiner by telephone are unsuccessful, the Examiner's supervisor, Jong (James) Lee can be reached on (571) 272-7044.

/Stephen F. Husar/ Primary Examiner